

FORM PTO-1590
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

478-PCT/US

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/914725

INTERNATIONAL APPLICATION NO.
PCT/CA00/00250INTERNATIONAL FILING DATE
March 9, 2000PRIORITY DATE CLAIMED
March 19, 1999

TITLE OF INVENTION

OPTICAL FILTERS AND METHOD OF MAKING THE SAME

APPLICANT(S) FOR DO/EO/US

François Gonthier

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. 09/914725

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. **\$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	8 - 20 =	—	x \$18.00	\$
Independent claims	1 - 3 =	—	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$ 860.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 430.00
SUBTOTAL =				\$ 430.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$
TOTAL NATIONAL FEE =				\$
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00
TOTAL FEES ENCLOSED =				\$ 470.00
				Amount to be refunded: \$
				charged: \$

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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

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NAME

24,991

REGISTRATION NUMBER

PATENT
478-PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: François GONTHIER
Serial No.: PCT/CA00/00250
Filing date: March 9, 2000
For: OPTICAL FILTERS AND METHOD OF MAKING THE SAME

Commissioner of Patents and Trademarks
Washington D.C. 20231
BOX PCT
Attn: EO/US

PRELIMINARY AMENDMENT

Sir:

This is a Preliminary Amendment filed concurrently with PCT Application
No. PCT/CA00/00250 (Entering the National Phase Under Chapter II).

IN THE CLAIMS

Enclosed please find amended claims 3, 4, 5, 6, 7 and 8 in which the dependencies have
been amended to eliminate multiple dependencies as shown in the attached marked up version
of the same claims.

REMARKS

Claims 1-8 are pending in the patent application. Claim 1 is an independent claim and
the remaining claims are dependent claims.

The present preliminary amendment is made to eliminate multiple dependencies of prior claims 3, 4, 5, 6, 7 and 8.

Respectfully submitted,



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AMENDED CLAIMS 3, 4, 5, 6, 7 and 8

3. (Amended) A method according to claim 1, in which the tapered fiber filters that are manufactured to match simulated responses with amplitudes of less than 3 dB, are produced with a tapered profile having a central beating region and a taper slope adapted to minimize higher order modulation in the resulting responses.

4. (Amended) A method according to claim 1, in which the tapered fiber filters that are manufactured to match simulated responses with amplitudes of more than 3 dB, are produced with a tapered profile having a central beating region and a coupling region at each end of said beating region with a non-adiabatic taper, thereby forming a tapered filter adapted to minimize modulation in the resulting responses.

5. (Amended) A method according to claim 1, in which the tapered filters are manufactured separately to match individual simulated responses and then are concatenated in-line by splicing them on a single-mode fiber.

6. (Amended) A method according to claim 1, in which the tapered filters are produced in-line on the same single-mode fiber to match the individual simulated responses.

7. (Amended) A method according to claim 1, in which, upon their manufacture, the tapered fiber filters are bonded to a substrate and packaged in a protective packaging.

8. (Amended) An optical filter with a desired spectral response when produced by the method according to claim 1.

MARKED-UP AMENDED CLAIMS 3,4,5,6,7 and 8

3. (Amended) A method according to [claims 1 or 2,] claim 1, in which the tapered fiber filters that are manufactured to match simulated responses with amplitudes of less than 3 dB, are produced with a tapered profile having a central beating region and a taper slope adapted to minimize higher order modulation in the resulting responses.

4. (Amended) A method according to [claims 1 or 2,] claim 1, in which the tapered fiber filters that are manufactured to match simulated responses with amplitudes of more than 3 dB, are produced with a tapered profile having a central beating region and a coupling region at each end of said beating region with a non-adiabatic taper, thereby forming a tapered filter adapted to minimize modulation in the resulting responses.

5. (Amended) A method according to [any one of claims 1 to 4,] claim 1, in which the tapered filters are manufactured separately to match individual simulated responses and then are concatenated in-line by splicing them on a single-mode fiber.

6. (Amended) A method according to [any one of claims 1 or 4,] claim 1, in which the tapered filters are produced in-line on the same single-mode fiber to match the individual simulated responses.

7. (Amended) A method according to [any one of claims 1 to 6,] claim 1, in which, upon their manufacture, the tapered fiber filters are bonded to a substrate and packaged in a protective packaging.

8. (Amended) An optical filter with a desired spectral response when produced by the method according to [any one of claims 1 to 7] claim 1.

OPTICAL FILTERS AND METHOD OF MAKING THE SAME

FIELD OF THE INVENTION

This invention relates to optical clean-up filters
5 which are optical wavelength filters producing a desired
spectral response. To achieve such desired spectral
response, the invention uses a plurality of tapered fiber
filters in series matching predetermined spectral
properties. The invention also includes a method for
10 concatenating the tapered fiber filters to achieve the
desired spectral response, after decomposing the latter
into individual sine waves.

BACKGROUND OF THE INVENTION

Tapered optical fiber filters are well known in the
15 art. They are made by tapering a single-mode optical
fiber in such a way as to produce an interference between
cladding modes, thereby creating a transmission which is
wavelength dependent.

One such tapered fiber filter is described in
20 Canadian Patent No. 1,284,282 issued May 21, 1991. It
provides a passband filter comprising a plurality of
successive biconical tapered portions on a single-mode
fiber, such tapered portions having different profiles to
produce the desired filtering characteristic.

25 Also, U.S. Patent No. 4,946,250 of August 7, 1990 by
Gonthier et al., discloses a passband/stopband filter
which is formed of two biconical tapers each having a

given profile and being separated from each other by a small distance. This enables transmission of one signal of predetermined wavelength while stopping a second signal of a different wavelength.

5 Moreover, in applicant's Canadian patent application No. 2,258,140 filed January 6, 1999, there is disclosed a method of making wavelength filters with a sinusoidal response or modulated sine response having any desired filtering amplitude and period of oscillation. The
10 optical fiber filter produced thereby has two coupling regions at the extremities of an elongated central beating zone.

 However, the above references do not disclose how to analyze a spectral response and extract the basic sine
15 waves therefrom and then to produce a plurality of filters in the form of suitable fiber tapers and assemble them in line to achieve the desired response in the resulting clean-up filter.

OBJECTS AND SUMMARY OF THE INVENTION

20 It is an object of the present invention to produce clean-up filters, i.e. filters that are aimed at correcting the wavelength response of optical systems by decomposing the desired response into a plurality of individual responses and then by producing tapered fiber
25 filters specifically designed to fit the individual responses so as to achieve a total response closely matching the desired total response.

Other objects and advantages will be apparent from the following description of the invention.

Optical systems often require a specific predetermined spectral response in order to achieve a desired function or operation. Optical filters are used to help achieve a desired response, but often such filters have predetermined characteristics and are not capable of producing a more complex spectral response when this is desired. The present invention provides such clean-up filters which are capable of producing any desired or predetermined response. To achieve this, the desired filter response is first analyzed with a computer program or algorithm that can automatically or manually simulate independent sine waves into which the desired response can be decomposed and which take the form of the following equation:

$$T = \beta [1 - \alpha \sin^2(\lambda - \lambda_0) \pi / \Lambda]$$

where:

T is the optical transmission of the filter,
 α is the amplitude of the filter,
 β is the maximum transmission,
 λ is the wavelength,
 λ_0 is the reference wavelength or
 center wavelength of the filter, and
 Λ is the wavelength period.

The computer program also calculates the product of the function:

$$F = T_1 \times T_2 \dots \times T_N$$

where:

F is the resulting filter function of the

concatenation of the tapers that have the independent transmissions T_1 to T_N .

Such numerical formula simulates the concatenation of a plurality of tapered individual fiber filters required to achieve the total response F .

The model pre-supposes that the cladding modes between each taper are suppressed, which can be physically achieved in several known ways, for example, by leaving enough fiber length with the protective jacket on between consecutive tapers, by bending the fiber, or by making tapers that are single-mode, and so on. The parameters of the simulation are the parameters of each sine function, namely $\alpha_1, \beta_1, \lambda_1, \Lambda_1, \dots, \alpha_N, \beta_N, \lambda_N, \Lambda_N$. These parameters may be adjusted manually or with the aid of a computer program to simulate a response with the smallest deviation from the desired response. The mathematical method used may be based on a minimization of the square of the difference between the model and the desired filter response, but other algorithms may be used or developed.

After thus determining the parameters of the individual tapers, one can realize each individual filter component in practice. The number and type of tapers needed will vary with the desired shape of the total response. For this reason, one must be able to control the parameters mentioned above during the taper fabrication process, in order to achieve the desired total response. When tapering a single-mode fiber, the

parameters may be controlled by producing a specific taper slope which itself will be controlled by the size of the heat source used to heat the fiber and by the pulling speed used to produce the desired taper. Using a small flame will cause an abrupt slope to be formed, which will usually result in the coupling of more than two modes, creating a modulated sine response, such as shown in Canadian Patent No. 1,284,282. Such modulated sine response is problematic in the model because it involves the control of additional parameters, such as the amplitude of each mode and the respective phases of the modes.

To avoid this problem, one may produce tapers or filters having a sinusoidal response with only two modes and wherein the amplitude period and phase are suitably controlled by providing two coupling regions at the extremities of an elongated tapered zone. Such filters and the method of their production are disclosed in applicant's Canadian Patent Application No. 2,258,140 filed January 6, 1999 which is incorporated hereinto by reference. With such taper profiles, one can achieve almost any sine response.

However, when the amplitude of the sine function is less than 50%, a simpler profile can be used, namely a profile such as disclosed in Canadian patent No. 1,284,282, but with a longer taper produced with a wider brush of the flame. The wavelength is then controlled by the length of the taper, i.e. the number of oscillations

in the elongation. Because the undesired three or higher order modes are caused by a taper slope that is too steep, one can reduce this effect by reducing the slope. Thus, one can obtain different responses by changing the flame brush width from 0 to a few mm. As one makes tapers with larger and larger brush widths, the modulation amplitude α will decrease. The appropriate brush width used to obtain a given spectral amplitude α can thus be determined by successive trials. The two other parameters of the sine response, i.e. the period Λ and the peak wavelength λ , are obtained by controlling the elongation of the taper. During elongation, oscillations in the optical transmission are observed; they correspond to the increase of beat lengths between the LP01 and LP02 modes. As explained in Canadian Patent No. 1,284,282, the number of beats is inversely proportional to the wavelength period; thus, as the taper is elongated, the period decreases. One can thus create periods from 400 nm to less than 1 nm. During fabrication, after the amplitude is set by the proper flame brush width, the elongation process is stopped when the predetermined period and wavelength properties are achieved.

Once a taper is fabricated by either method described above and the desired shape is realized, the taper is bonded to a substrate and suitably packaged for protection, for example in a steel tube. Each taper can be made individually and spliced with other such tapers or components, or they can be made in succession on the

same single-mode fiber.

In summary, the present invention provides for an optical clean-up filter with a desired spectral response, which comprises a plurality of tapered fiber filters concatenated in-line on a single-mode optical fiber, said tapered fiber filters having specific wavelength response designs which closely match corresponding specific simulated responses resulting from a decomposition, by means of a computer program or algorithm, of the desired spectral response into individual specific simulated responses, whereby the in-line concatenation of said tapered fiber filters with responses of various specific designs produces the desired specific response in the clean-up filter.

In addition, the present invention provides for a method of manufacturing an optical clean-up filter with a desired spectral response, which comprises:

(a) decomposing the desired spectral response into individual simulated responses using a suitable computer program or algorithm;

(b) manufacturing tapered fiber filters with parameters that closely match the individual simulated responses; and

(c) concatenating the tapered fiber filters on a single-mode fiber to produce the optical clean-up filter with a total response that closely matches the desired spectral response.

BRIEF DESCRIPTION OF THE DRAWINGS

A preferred embodiment of the invention will now be described with reference to the appended drawings in which:

5 Fig. 1 is a graph showing a desired spectral response of a filter;

 Fig. 2 shows diagrammatically the concatenation of tapered filters to simulate the numerical formula representing the desired spectral response of Fig. 1;

10 Fig. 3 is a graph showing four parameters of individual filters which may be adjusted to provide a filter simulation with the smallest possible deviation from the desired response;

 Fig. 4 shows one type of taper that may be realized for the purposes of this invention;

 Fig. 5 shows another type of taper that may be realized for the purposes of this invention; and

 Fig. 6 is a graph of realized taper responses compared with the model.

20 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to the drawings, Fig. 1 shows a graphical representation of a desired spectral response in an optical filter. Such specific response may be needed to produce a desired function in an optical device.

25 Fig. 2 illustrates the concatenation of four filters F1, F2, F3 and F4 produced on a standard single-mode fiber 10 having a jacket which acts as a cladding mode filter. The fiber 10 may, for example, be the standard

matched cladding fiber SMF-28 supplied by Corning. In the arrangement shown in Fig. 2, the light goes in at 12 and comes out at 14.

In Fig. 3 there is illustrated a simulation of a filter having a total response as close as possible to the desired filter response of Fig. 1, by providing a simulation of four parameters each having a sine function $\alpha_1, \beta_1, \lambda_1, \Lambda_1, \dots, \alpha_4, \beta_4, \lambda_4, \Lambda_4$ and adjusting these parameters so as to obtain the smallest possible deviation. In this embodiment, four tapers F1, F2, F3 and F4 are used to model the desired profile within a deviation of 0.25 dB, although it should be understood that the number of tapers can vary depending on the desired shape of the total filter F response. After determining by simulation the parameter of each taper, such taper is realized by using a fabrication process that enables control of these parameters.

One such taper and process of making the same are illustrated in Fig. 4. When tapering a single-mode fiber 10, the taper slope 16 will be controlled by the size of the heat source or flame 18 and by the pulling speed represented in Fig. 4 by arrows 19, 19A. Because undesired three and higher order modes are caused by a taper slope that is too steep, one can reduce this modulating effect by making slope 16 more gradual by increasing the brush width 22 of the flame 18 from 0 to a few mm. In this manner, the total amplitude and the amplitude of the modulations will decrease. If the total

amplitude is limited to 50%, the modulation is reduced to a few percent, making such response almost entirely sinusoidal. If the brush width 22 is further increased, the modulation completely disappears. Thus, at 20% total amplitude, no extra modulation is observed. The wavelength period is then controlled by the length of the taper 20, i.e. the number of oscillations in the elongation. The control of the length 20 enables the realization of spectral responses with periods from 400 nm to a few nm.

Tapers, such as shown in Fig. 4, are particularly suitable for filters with amplitudes of 1 to 3 dB, since higher amplitudes, e.g. 20-30 dB, will excite 3 or more modes. To control the response with such higher amplitudes, one can use tapers shown in Fig. 5 which are suitable for achieving a response having any desired filtering amplitude and period of oscillation in a filter made by tapering a single-mode fiber 10. This structure has a central beating region 24 and two coupling regions at its extremities produced by non-adiabatic tapers 26, 28. In producing this structure, the ratio between LP01 and LP02 is readily controlled. The period, as in the case of the taper of Fig. 4, is controlled by the length of the beating region 24. The realization of such filter is disclosed in applicant's Canadian Patent Application No. 2,258,140 filed January 6, 1999, entitled "OPTICAL FIBER FILTERS AND METHOD OF MAKING THE SAME".

Fig. 6 illustrates the realized taper responses achieved experimentally. In this design, four tapers were made F1 exp., F2 exp., F3 exp. and F4 exp., three of which were small amplitude tapers F1 exp., F2 exp. and F3 exp. produced as shown in Fig. 4 and the last taper F4 exp. with 4 dB amplitude had the profile shown in Fig. 5. Because this latter profile gives a lot of flexibility, the parameters thereof were adjusted to compensate for the errors in the first three tapers. The fourth taper F4 exp. was made directly in line with the three first tapers F1 exp., F2 exp. and F3 exp., and its response was adjusted to best match the total response F exp. total to the desired filter response. In this case the error between the total experimental response and the desired filter response was 0.4 dB and with greater control of taper performance, it would be possible to achieve even closer match. This would also permit the realization of more complex taper responses, such as a modulated sine response, which may be used as a new tool in the decomposition of the desired filter response, leading to a reduction of the number of taper structures needed to achieve a satisfactory matching of the response.

It should be noted that the invention is not limited to the specific embodiment described above, but that various obvious modifications can be made by a person skilled in the art without departing from the spirit of the invention and the scope of the following claims.

CLAIMS

1. An optical clean-up filter with a desired spectral response, which comprises a plurality of tapered fiber filters concatenated in line on a single-mode optical fiber, said tapered fiber filters having specific wavelength response designs which closely match corresponding specific simulated responses resulting from a decomposition by means of a computer program or algorithm of the desired spectral response into individual specific simulated responses, whereby the in-line concatenation of said tapered fiber filters with responses of various specific designs produces the desired specific response in the clean-up filter.
2. An optical clean-up filter according to claim 1, in which the tapered fiber filters that are produced to match simulated responses with amplitudes of less than 3 dB have a tapered profile with a central beating region and a taper slope such as to minimize higher order modulation in the resulting responses.
3. An optical clean-up filter according to claim 1, in which the tapered fiber filters that are produced to match simulated responses with amplitudes of more than 3 dB have a tapered profile with a central beating region and a coupling region at each end of said beating region with a non-adiabatic taper, thereby forming a tapered filter such as to minimize modulation in the resulting responses.

4. An optical clean-up filter according to claims 1, 2 or 3 in which the plurality of tapered fiber filters are produced individually and then concatenated with one another by splicing them in-line on a single-mode optical fiber.

5. An optical clean-up filter according to claims 1, 2 or 3, in which the plurality of tapered fiber filters are produced directly in-line on a single-mode optical fiber.

6. A method of manufacturing an optical clean-up filter with a desired spectral response, which comprises:

(a) decomposing the desired spectral response into individual simulated responses using a suitable computer program or algorithm;

(b) manufacturing tapered fiber filters with parameters that closely match the individual simulated responses; and

(c) concatenating said tapered fiber filters on a single-mode fiber to produce the optical clean-up filter with a total response that closely matches the desired spectral response.

7. A method according to claim 6, in which the computer program for decomposing the desired spectral response into individual simulated responses of independent sine waves uses the following equation:

$$T = \beta [1 - \alpha \sin^2(\lambda - \lambda_0) \pi / \Lambda]$$

where:

T is the optical transmission of the filter,
 α is the amplitude of the filter,
 β is the maximum transmission,

λ is the wavelength,
 λ_0 is the reference wavelength or
center wavelength of the filter, and
 Λ is the wavelength period

5 and the product function for a plurality of such
responses is calculated using the following equation:

$$F = T_1 \times T_2 \dots \times T_N$$

where:

10 F is the resulting filter function of the
concatenation of the tapers that have the independent
transmissions T_1 to T_N .

8. A method according to claims 6 or 7, in which the
tapered filters are manufactured separately to match
individual simulated responses and then are concatenated
15 in-line by splicing them on a single-mode fiber.

9. A method according to claims 6 or 7, in which the
tapered filters are produced in-line on the same single-
mode filter to match the individual simulated responses.

10. A method according to any one of claims 6 to 9, in
20 which, upon their manufacture, the tapered fiber filters
are bonded to a substrate and packaged in a protective
packaging.

1/5

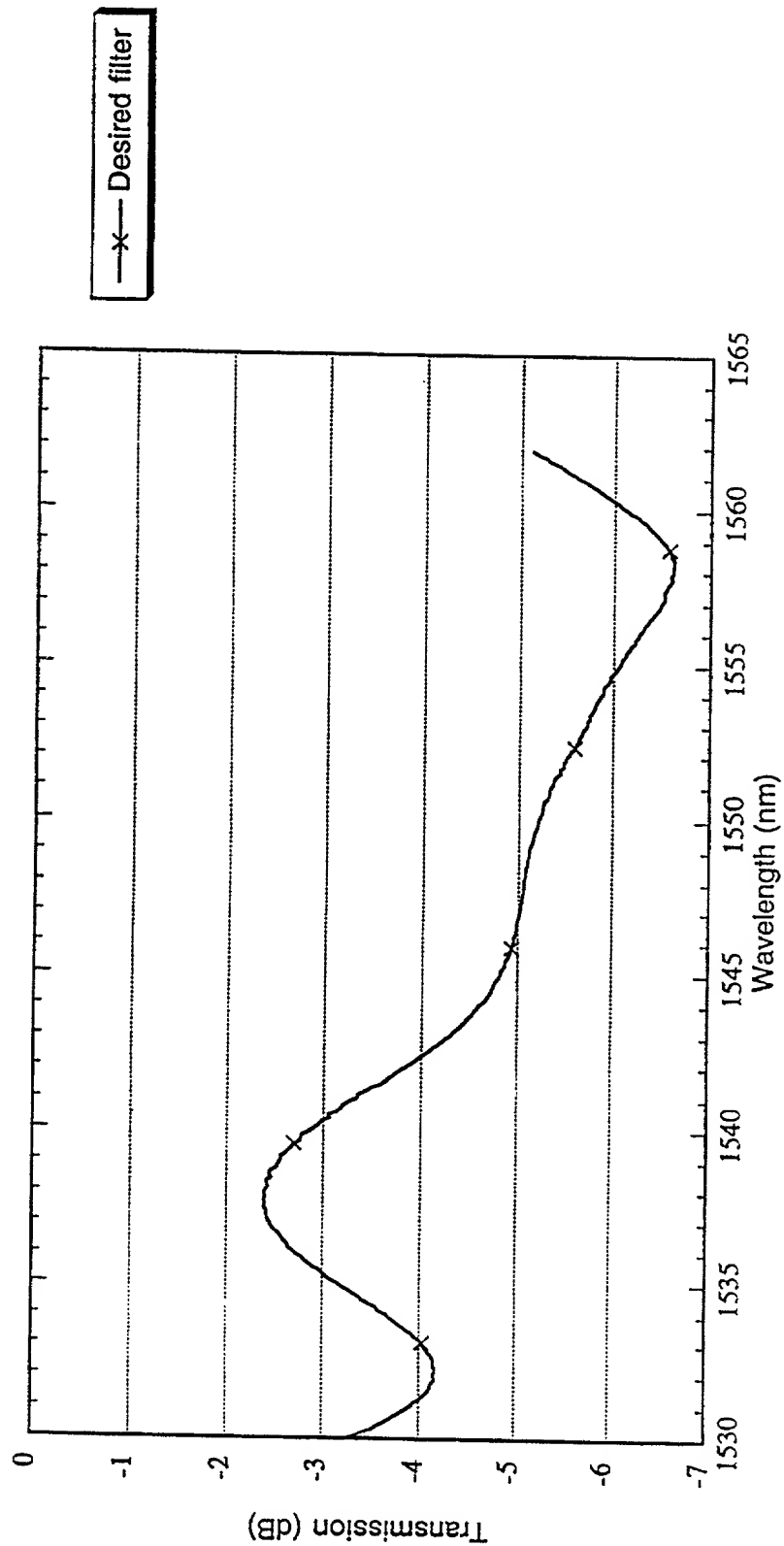


Fig. 1

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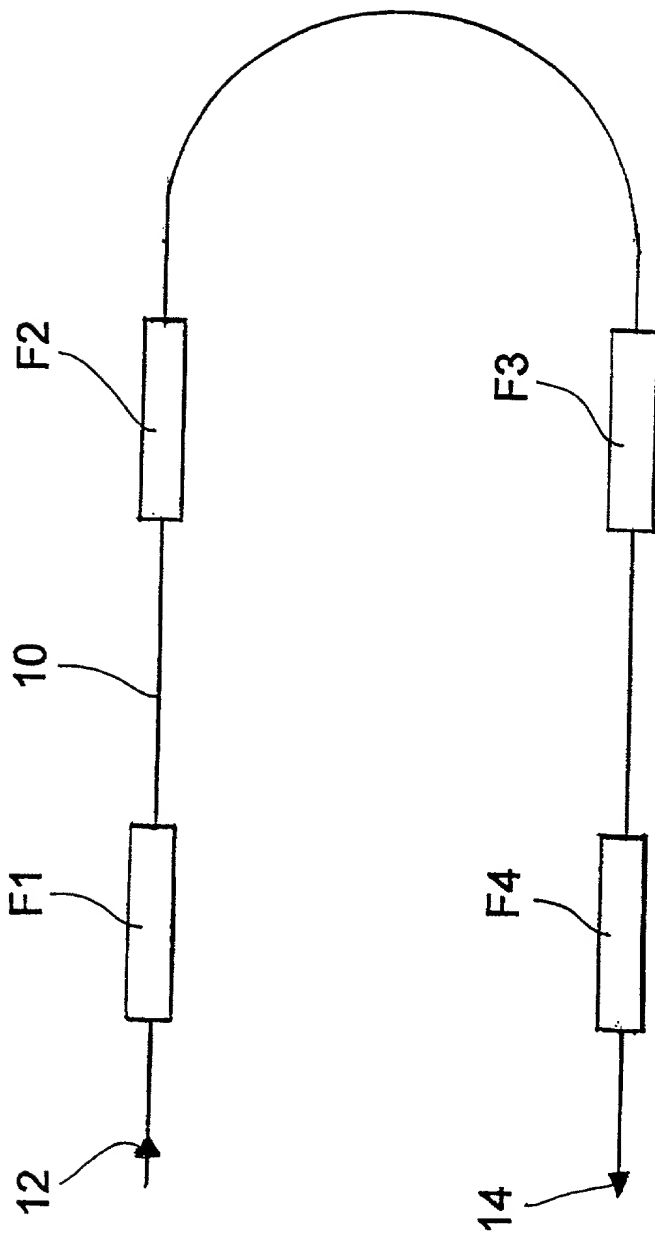


Fig. 2

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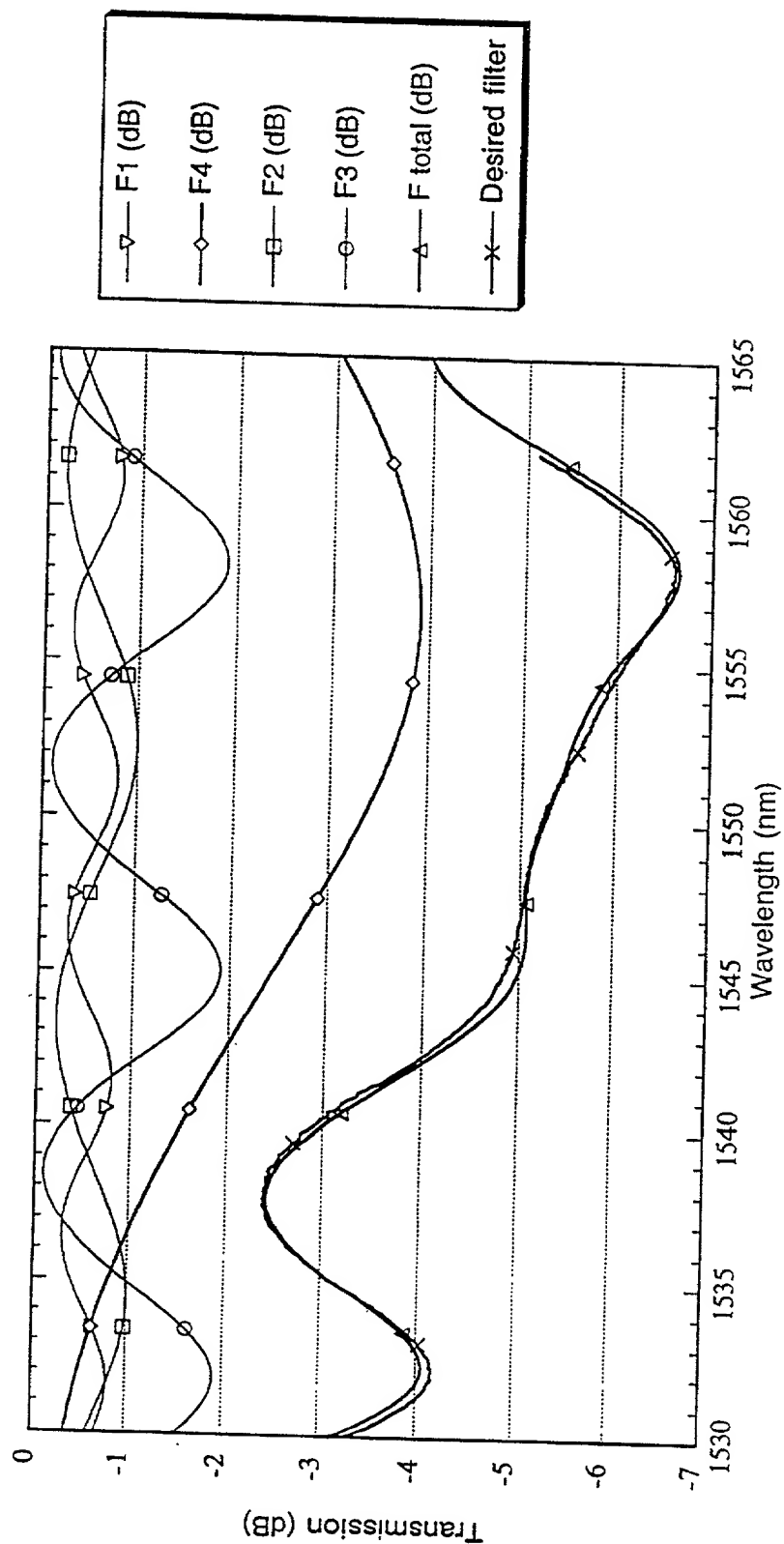


Fig. 3

4/5

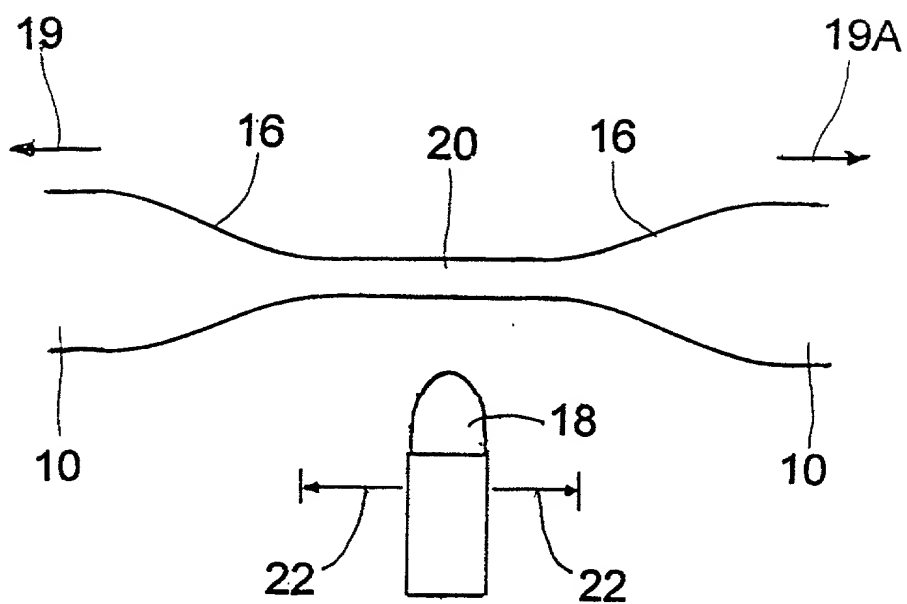


Fig. 4

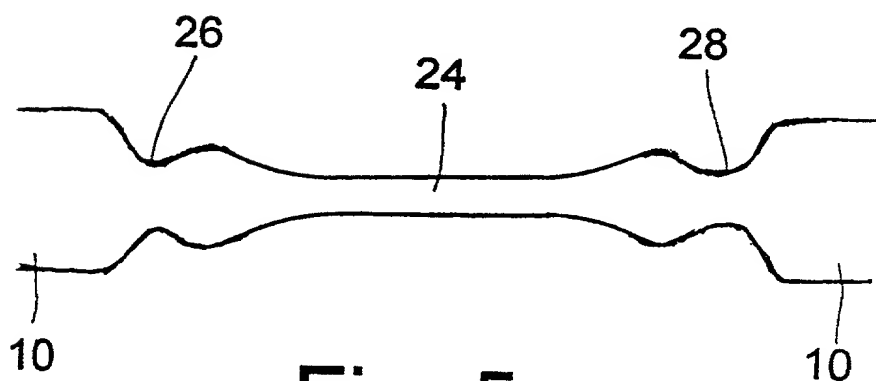


Fig. 5

5/5

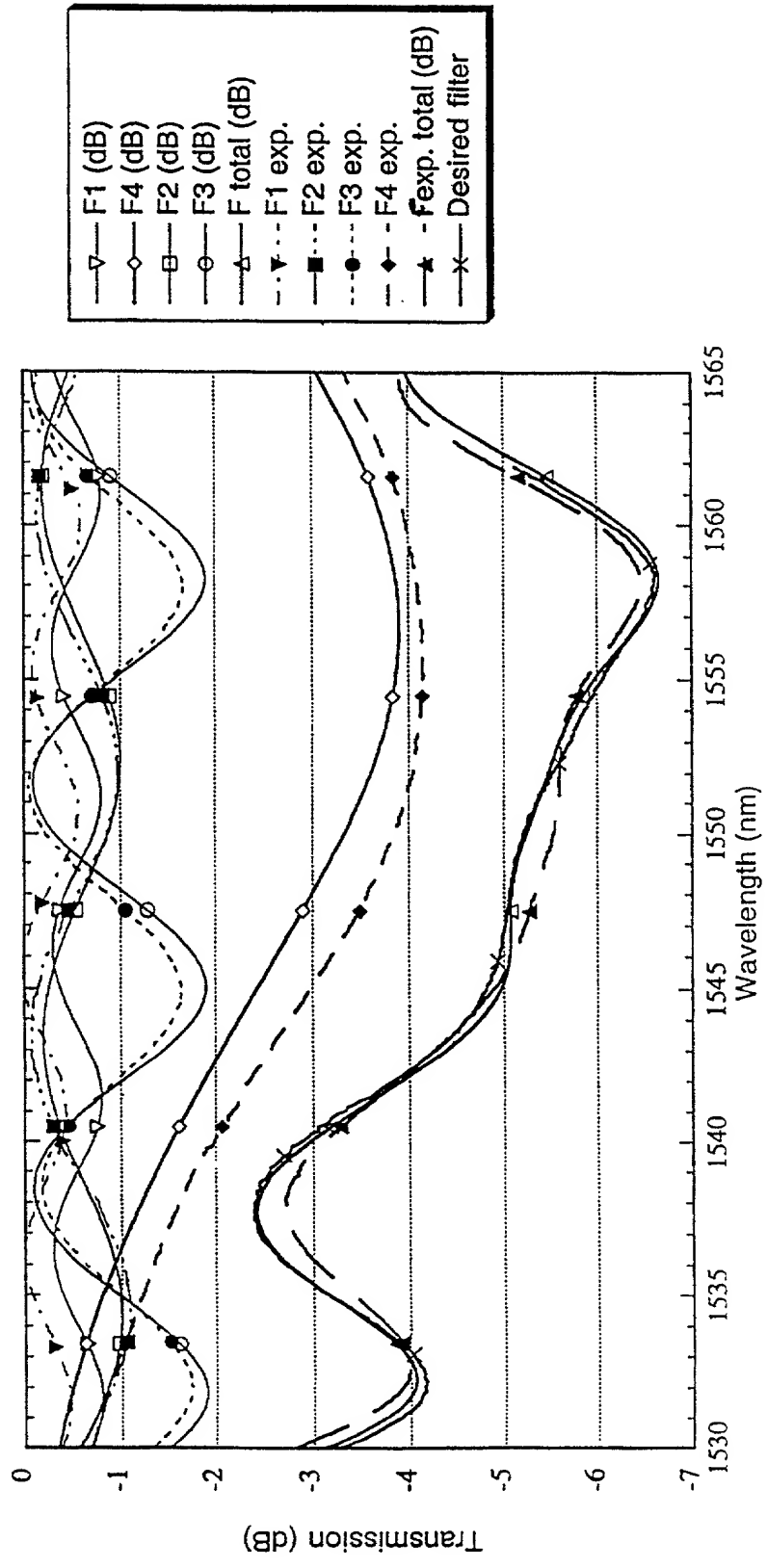


Fig. 6

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (3-97)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration
Submitted
with Initial
Filing

OR

☒ Declaration
Submitted after
Initial Filing

Attorney Docket Number

478-PCT/US

First Named Inventor

GONTHIER

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL FILTERS AND METHOD OF MAKING THE SAME

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) 03/09/2000

as United States Application Number or PCT International

Application Number PCT/CA00/00250 and was amended on (MM/DD/YYYY) 04/10/2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
2,266,195	CANADA	03/19/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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[Page 1 of 2]

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PTO/SB/01 (3-97)

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Name	Registration Number	Name	Registration Number
George J. Primak	24,991		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto